

Name of service

IT Audit on accounting systems and infrastructure of the brokerage firms

Division

Projects and Development, Programming, Infrastructure and communications

Recipients of Service

ASE Members

Available Forms to Perform Service

- Audit form on accounting systems
- Audit form on database
- Audit form on operating systems
- Audit form on infrastructure

Service Description

IT auditing on accounting systems and infrastructure at the brokerage firms side to make sure of their compliance with the ASE and the JSC requirements.

Requirements and Conditions of Service

ASE membership for brokerage firms.

Required Documents

Official Documents showing how much the brokerage firms committed with ASE requirements

Steps and procedures for implementing the service

The ICT staff checks the accounting systems and infrastructure of the brokerage firm to make sure of their compliance with the ASE and the JSC requirements. They also request official documents that clarify brokerage firm's commitment.

Service Fees

None

Approximate Time for Completing the Service

After completing all of the required Auditing procedures

Contact Details

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